

# Quantitative Analysis of SnO<sub>2</sub> Film Materials and Doping Research Based on Density Functional Theory

Ling Yi, Xiaojuan Li\*, Xing Kang, Bing Ma, Tao Wang, Yanjun Wang, Honglei Guo, Lianyi Jia

Beijing Smart Core Microelectronics Technology Co., Ltd, Changping, Beijing, 102200, China

\*Corresponding Author.

## Abstract:

In this paper, the structure of tin dioxide material is analyzed by MS software, XPS and AFM. The XPS analysis results of SnO<sub>2</sub> film structure show that:O/Sn composition ratio of tin oxide film is affected by the ratio of argon to oxygen, treating temperature and sputtering time, Annealing treatment and supplementation of O<sub>2</sub> can increase the content of adsorbed oxygen on the surface. Analysis of the structure of the film by AFM shows annealing can make tin oxide film crystal bloom and improve surface defects. The doping of Pd in SnO<sub>2</sub> components can increase the conductivity to explain, and it also provides a reference for the experiment.

**Keywords:** SnO<sub>2</sub>, XPS, AFM, Doping, DFT.

---

## I. INTRODUCTION

SnO<sub>2</sub> material has the advantages of stable chemical properties, low price, and good safety. It is a good oxide semiconductor thin film material. Based on these excellent properties, SnO<sub>2</sub> is widely used in liquid crystal displays, solar cells, transparent electrodes, gas sensors and other fields [1-3].

The research on surface doping, surface treatment and surface coating catalytic layer as the main surface modification technology is an effective means to improve the detection ability of gas sensors. Doping can not only improve the conductivity of the component, but also improve the stability and selectivity. It is generally achieved by adding elemental metals, metal oxides and rare earth oxides. The precious metal Pd is a commonly used doping element. Some researchers have found that after doping, Pd exists on the surface of the particles in the state of PdO, which increases the surface depth and accelerates the adsorption of gas on the surface of the element. It is also for H<sub>2</sub>, CH<sub>4</sub> and C<sub>4</sub>H<sub>10</sub> in these three flammable gases has higher selectivity. The doped precious metal acts as a catalytic active center, in this way, the chemisorption activation energy of the measured gas will be reduced, the sensitivity of elements will be effectively improved and the response time will be reduced which can reduce the activation energy of the chemical adsorption of the measured gas, effectively improve the sensitivity of the element and shorten the response time. Different catalysts can lead to different adsorption samples and thus have

selectivity [4-6].

With the development of MEMS technology, the gas sensor can be made on a tiny chip, and the size of the sensor can be greatly reduced. It can increase integration, reduce power consumption and improve response speed [7-9]. These characteristics make the new generation of MEMS metal oxide semiconductor gas sensors become the most favorable competitor in the consumer market, therefore, the quantitative analysis and doping research of gas-sensitive materials are particularly important.

The stoichiometric ratios of SnO<sub>2</sub> films deposited under different sputtering conditions are different, so the gas-sensing properties of SnO<sub>2</sub> materials are different. SnO<sub>2</sub> films deposited under different sputtering conditions through XPS, and at the same time uses AFM for different annealing the film structure before and after was analyzed, and the SnO<sub>2</sub> doped Pd was simulated and analyzed based on density functional theory in this paper, which can provide a reference for the preparation of excellent SnO<sub>2</sub> gas-sensitive materials. Simulation calculation of SnO<sub>2</sub> bulk structure

## II. SIMULATION CALCULATION OF SNO<sub>2</sub> BULK STRUCTURE

The first-principles calculation method analyzes various physical properties of materials through the atomic structure of the material to analyze the energy band structure of the material, the density of state and the electron cloud distribution and other information. The ideal SnO<sub>2</sub> crystal belongs to the tetragonal crystal system, it has a rhodolite-type structure and belongs to the PMM<sub>2</sub> space group [10-12]. The symmetry is C<sub>2</sub>V-1. The SnO<sub>2</sub> bulk structure is shown in Fig.1, Sn and O atoms are shown in the right figure. Sn<sub>4+</sub> ions are located at the apex and body center of the unit cell structure, and O<sub>2-</sub> ions are located at some specific positions in the unit cell. The coordinates of the particle in the unit cell are:

$$\text{Sn}_{4+}: 000, \frac{1}{2} \frac{1}{2} \frac{1}{2} \quad (1)$$

$$\text{O}_{2-}: uu0, (1-u)(1-u)0, \left(\frac{1}{2} \pm u\right) \left(\frac{1}{2} \mp u\right) \frac{1}{2} \quad (2)$$

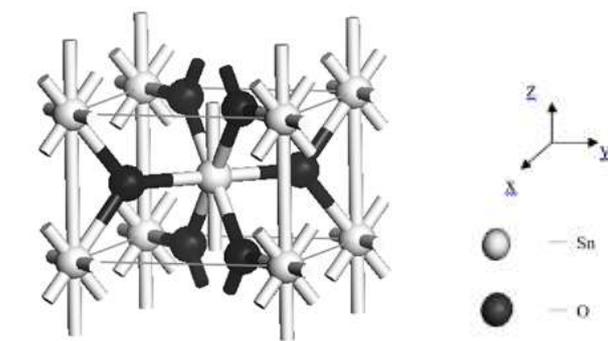


Fig.1 SnO<sub>2</sub> crystal structure diagram

### III. QUANTITATIVE ANALYSIS OF $\text{SnO}_2$ FILM COMPOSITION

$\text{SnO}_2$  is a metal semiconductor material with a wide band gap. It is an N-type semiconductor and contains oxygen vacancies or tin interstitial ions. Generally speaking,  $\text{SnO}_2$  films prepared by sputtering have a stoichiometric mismatch. For  $\text{SnO}_2$  gas for sensitive films, the ratio of Sn to O is a key factor affecting the gas-sensing performance. The XPS technology was used to analyze the chemical composition of the film surface in detail in this paper. Fig.2 shows XPS analysis chart of the  $\text{SnO}_2$  film Films prepared under different conditions and Table 1 shows Chemical composition of  $\text{SnO}_2$  surface. The analysis shows that the Sn on the film surface is completely present in the 4 valence state. Annealing treatment and supplementation of  $\text{O}_2$  will not affect the valence state of Sn, but it affects the surface of the film. The content of O, these two methods both increase the content of adsorbed oxygen on the surface, thus improving the gas sensitivity of tin oxide.

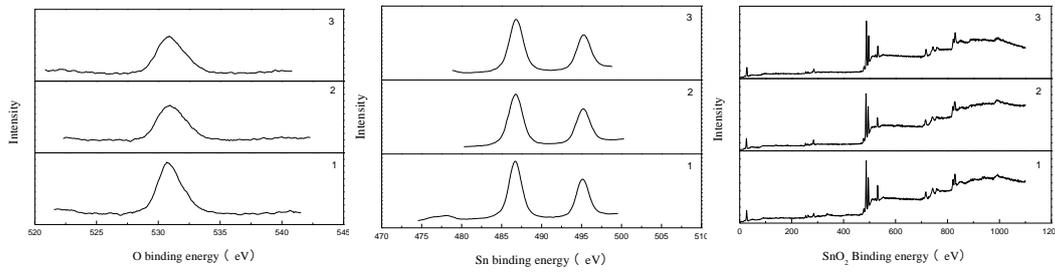


Fig.2 XPS analysis chart of the  $\text{SnO}_2$  film

Note: 1—After pure Ar sputtering, it is treated at  $500^\circ \text{C}$  for 2h

2—Pure Ar sputtering without any treatment

3—The ratio of argon to oxygen is 4 with Pure Ar sputtering

TABLE I. Chemical composition of  $\text{SnO}_2$  surface

Sample number	Spectral peak area		Surface adsorption O	O/Sn
	$\text{Sn}_{3d_{5/2}}$	$\text{O}_{1s}$		
1	149628.8	40148.74	7874.287	1.3628
2	146200	30487.36	7333.056	1.0592
3	150615.6	30813.01	7935.974	1.0391

### IV. ANNEALING OF $\text{SnO}_2$ FILM

Film samples that have not been annealed are usually amorphous and have many defects, such as tin interstitial atoms and oxygen vacancies. In order to analyze the film structure comprehensively, and also to find the best working temperature of  $\text{SnO}_2$  as a gas-sensitive material for different gases, it is usually

necessary to perform a certain annealing treatment on the sample. The annealing treatment can provide enough energy to make the atoms migrate. Increased, along with the disappearance and generation of a large number of interstitial atoms and vacancy atoms, crystal grains gradually aggregate and grow, and the crystalline properties of the film are gradually improved. Fig.3 and Fig.4 show the surface morphology of SnO<sub>2</sub> measured by AFM of untreated and treated SnO<sub>2</sub> film. It can be seen that after the annealing treatment, the protrusions begin to diffuse and gradually connect together, and the surface grains of the SnO<sub>2</sub> film show a tendency to grow.

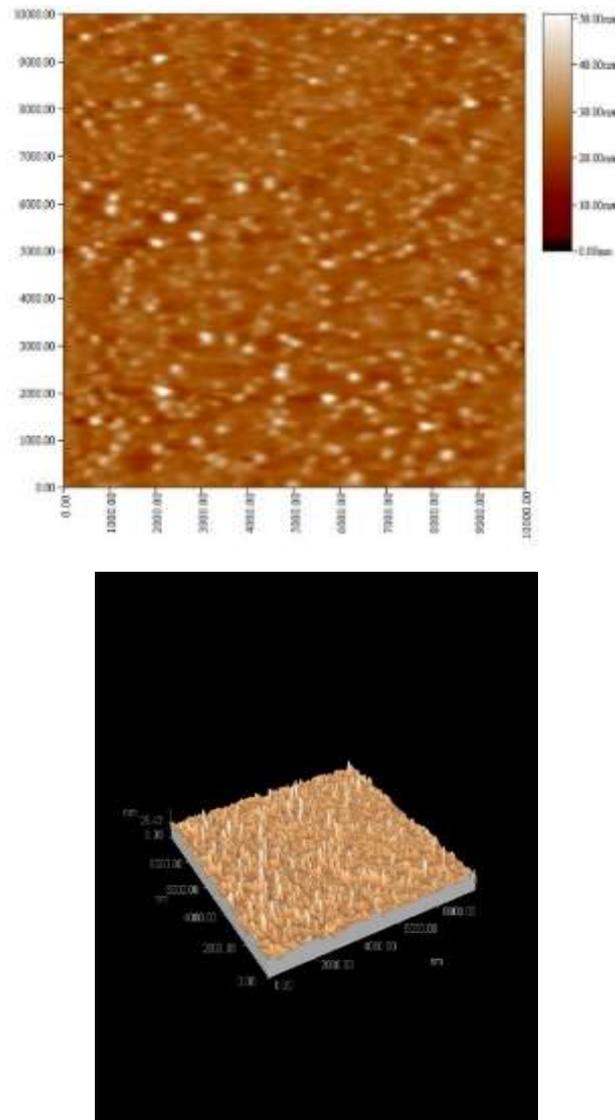


Fig.3 Surface morphology of SnO<sub>2</sub> thin film prepared at room temperature

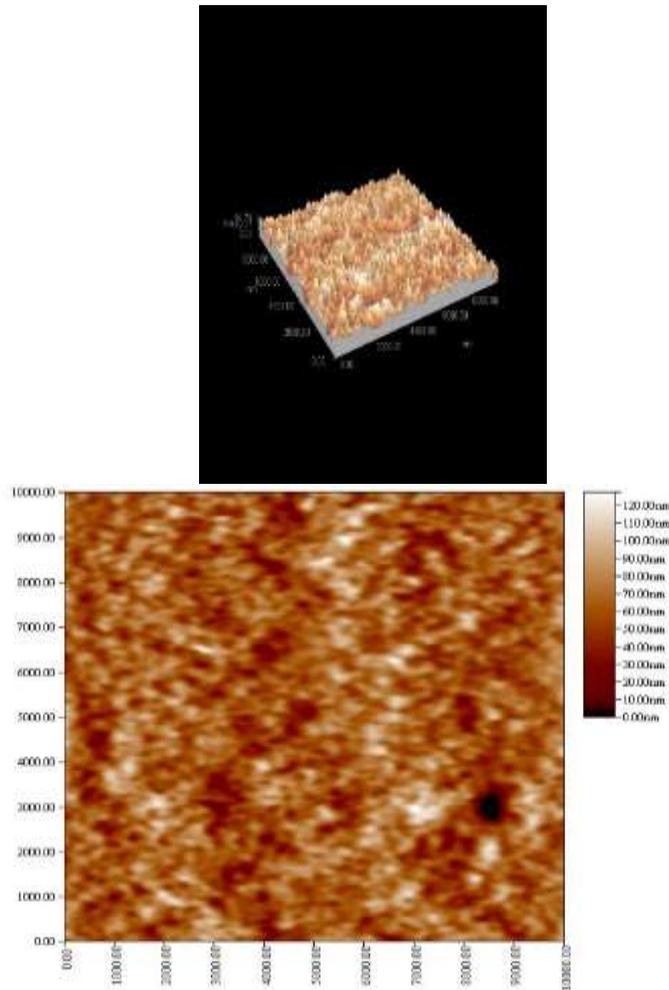


Fig.4 Surface morphology of SnO<sub>2</sub> film annealed at 500° C

## V. CALCULATION RESULTS AND ANALYSIS

### 5.1 Electronic structure

Doping modification of SnO<sub>2</sub> materials is the main research hotspot at present [13-15]. In this paper, the noble metal Pd doped with SnO<sub>2</sub> with different concentrations was simulated and analyzed by MS software.

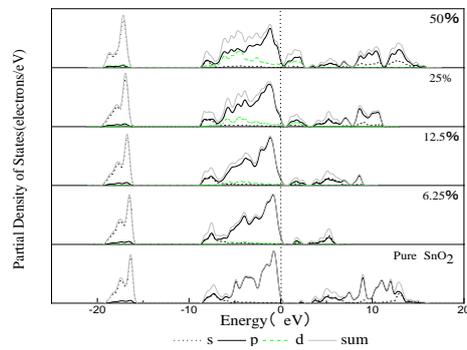


Fig.5 Partial density of states of Pd-doped SnO<sub>2</sub>

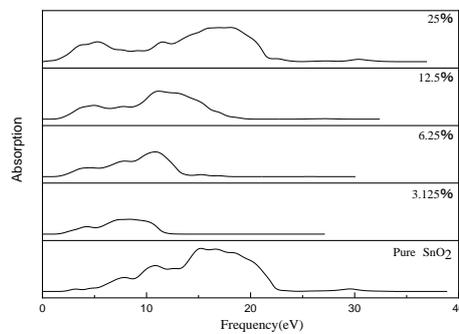


Fig.6 Optical absorption spectra of SnO<sub>2</sub> doped with different Pd concentrations

The valence electrons of each atom are: O 2s<sup>2</sup> 2p<sup>4</sup>, Sn 5s<sup>2</sup> 5p<sup>2</sup>, Pd 4d<sup>10</sup>, Fig.5 is the partial wave state density diagram of SnO<sub>2</sub> doped with different concentrations of Pd. With the increasing of Pd doping concentration in SnO<sub>2</sub>, the band gap decreases gradually. This is mainly due to the free carriers generated by high concentration doping that change the band gap of the SnO<sub>2</sub> material from the following two aspects: On the one hand, the high-concentration carriers move the Fermi level into the conduction band to produce the so-called Burstein-Moss movement, which causes the optical absorption edge to move in the direction of high energy and widens the band gap; on the other hand, the interaction between charges produces many-body effects or the overlap between impurity and defect bands narrows the band gap. The latter has a greater effect than the former, and the overall effect is that the band gap becomes smaller as the carrier concentration increases. After doping, the d orbital electrons of Pd enter the valence band and conduction band, and as the doping concentration increases, its contribution gradually increases. At the same time, an impurity state appears at the band gap, s, p, d orbital electrons all appear, this energy band gradually widens with the increase of doping concentration, and the electronic state density also gradually increases, so that when the electron transitions from valence band to conduction band, it can transition to impurity state through valence band and then to conduction band, which is easier than that of undoped state, so the conductivity of SnO<sub>2</sub> will increase after doping. Just like the electronic structure after deoxygenation, the conduction band width of SnO<sub>2</sub> gradually increases after Pd doping. It can be seen from the optical absorption spectrum diagrams of SnO<sub>2</sub> doped with different Pd concentrations in Fig.6. As

the concentration increases, the optical absorption band range of SnO<sub>2</sub> gradually increases, and the position of the absorption peak also blue-shifts.

## VI. CONCLUSION

XPS is used to analyze the composition of tin oxide film under different sputtering conditions, AFM is used to analyze SnO<sub>2</sub> film structure before and after annealing and the CASTEP module of the Material studio software was used to analyze the electronic band structure of Pd doping SnO<sub>2</sub> in this paper, the result shows:

1) O/Sn composition ratio of SnO<sub>2</sub> film is affected by the ratio of argon to oxygen, treating temperature and sputtering time, Annealing treatment and supplementation of O<sub>2</sub> can increase the content of surface adsorbing oxygen, thereby improving the gas sensitivity of tin oxide;

2) As the annealing temperature increases, along with the disappearance and generation of a large number of interstitial atoms and vacant atoms, the crystal grains gradually aggregate and grow, and the crystalline properties of the film are gradually improved;

3) The single point energy of the unit cell system gradually decreases and the stability increases, and the volume of the SnO<sub>2</sub> unit cell is slightly reduced;

4) The bottom of the conduction band gradually moves to the lower energy direction, the energy level range of the conduction band increases with the increase of the concentration, the band gap width decreases, and the optical absorption band blue shifts.

## REFERENCES

- [1] CHOI M S, LEE Y J, KWON J D, et al. Effects of hydrogen plasma treatment on SnO<sub>2</sub>: Fsubstrates for amorphous Si thin film solar cells. *Curr. Appl. Phys.*, 2013, 13(8):1589-1593.
- [2] GUILL N C, MONTE RO J, HERRERO J M. Transparent and conductive electrodes combining AZO and ATO thin films for enhanced light scattering and electrical performance. *Appl. Surf. Sci.*, 2013, 264:448-452.
- [3] Wang Y, Zhao Z, Sun Y, et al. 2017. Fabrication and gas sensing properties of Au-loaded SnO<sub>2</sub> composite nanoparticles for highly sensitive hydrogen detection. *Sensors and Actuators B:Chemical*, 240:664-673.
- [4] Sun P, Zhao W, Cao Y, et al. Porous SnO<sub>2</sub> hierarchical nanosheets: hydrothermal preparation, growth mechanism, and gas sensing properties. *Crystengcomm*, 2011, 13(11): 3718-3724.
- [5] Thomas B, Skariah B. Spray deposited Mg-doped SnO<sub>2</sub> thin film LPG sensor: XPS and EDX analysis in relation to deposition temperature and doping. *Journal of Alloys & Compounds*, 2015, 625:231-240.
- [6] LIU Y M, ZHAO L Z, LIU X H. SnO<sub>2</sub> transparent conductive film in doping research *Progress. Electron. Process Technol.*, 2015, 36(6):315-318.
- [7] Chen M, Peng S, Wang N, et al. 2019. A Wide-Range and High-Resolution Detection Circuit for MEMS Gas Sensor. *IEEE Sensors Journal*, 19(8):3130-3137.

- [8] Rao LLR, Singha MK, Subramaniam KM et al. 2017. Molybdenum microheaters for MEMS based gas sensor applications: Fabrication, electro-thermo-mechanical and response characterization. *IEEE Sensors Journal*,17:22-29.
- [9] Chang CH, Chou TC, Chen WC, et al. 2020. Study of a WO<sub>3</sub> thin film based hydrogen gas sensor decorated with platinum nanoparticles. *Sensors and Actuators B: Chemical*, 317:128-145.
- [10] BAKHT K, MAHMOOD T, AHMED M, et al. Pressure Induced Electronic and Optical Properties of Rutile SnO<sub>2</sub> by First Principle Calculations. *Superlattices and Microstructures*, 2016, 90(2):236-241.
- [11] GUO D L, HU C G. First-principles Study on the Electronic Structure and Optical Properties for SnO<sub>2</sub> with Oxygen Vacancy. *Applied Surface Science*, 2012, 258(18):6987-6992.
- [12] Jiang L, Lu Y, Zhang C W, et al. Influence of oxygen vacancy on electronic and magnetic properties in Cr doped SnO<sub>2</sub> super lattice. *J. Phys. Soc. Jpn.*, 2011, 80:124709.
- [13] Wang Z, Feng X Y, Wang P J. Study on magnetism and optical properties of transition metal doped SnO<sub>2</sub> superlattice. *Journal of Founct. Mater.*, 2014, 45:03070.
- [14] Jiang L, Wang P J, Zhang C W, et al. Electronic structure and optical properties of Cr doped SnO<sub>2</sub> superlattice. *Acta Phys. Sin.*, 2011, 60(2):093101.
- [15] Shao T T, Zhang F C, Cui H G. Density functional theory study on the electronic structure and optical properties of Sb-doped SnO<sub>2</sub>. *Laser & Optoelectronics Progress*, 2015, 52, 081601.